## Umbrella Capital & Consulting





### **Profile**

#### **Vision and Mission**

Whatever changes you are striving for or whatever goals you want to achieve: We are at your side with advice and action every step of the way.



"By not preparing, you are preparing for failure"

Benjamin Franklin





# Change Management

**Advise** 

Business Angel



#### **Selected Projects and Expertise**

- ⇒ Setup and operation of a new Neo-Broker in Germany
- ⇒ Building up a fully-fledged securities trading bank, Germany
- ⇒ Setup and operation of a full bank in Abu Dhabi, UAE
- ⇒ Setup of a 24/7 software development center in Shanghai, China
- ⇒ Setup and operation of new broker for securities trading in Germany
- ⇒ Foundation of representative offices for a credit institution
- ⇒ Analysis of the business model of an international banking group
- ⇒ Evaluation of international core banking trading system
- ⇒ Setup and operation of a market making unit (Switzerland, Italy)
- ⇒ Initiation and operation of public exchange, Germany
- ⇒ Development of order-routing and management systems with connection to 55 international exchanges
- ⇒ Development of OTC Trading Systems
  - Securities, ETFs, Bonds, etc.
  - Collateralized derivatives
- ⇒ Setup and operation of a bond trading system in Germany
- ⇒ Setup and operation of a Customized Index unit for banks, insurances, money managers and asset managers, etc.
- ⇒ Setup and operation of a "designated trading" unit, market making for exchange listed firms on Xetra in Germany
- ⇒ Development of an internalization and trade aggregation to significantly reduce processing cost for a bank
- ⇒ Establish full-electronic, dynamic "best-execution" policy system for banks
- ⇒ Establish systematic internalization process according to BaFin requirements for a bank in Germany
- ⇒ Establish new unit for derivative brokerage
- ⇒ Manage und establish market making unit for the Düsseldorf stock exchange
- ⇒ Manage and further develop electronic exchange "Quotrix" for a credit institution